

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

st Named

nventor : Francisco M. Galanes et al.

Appln. No.: 10/046,131

Filed: October 21, 2001

: WEB SERVER CONTROLS FOR WEB

ENABLED RECOGNITION AND/OR

AUDIBLE PROMPTING

Docket No.: M61.12-0393

Group Art Unit: 2171

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS

DAY OF

, 20 8 G

PATENT ATTORNEY

Sir:

For

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

An information disclosure statement is being filed by the applicant within any one of the following time periods:

- 1. \underline{x} 1. Within three months of the filing date of a national application other than a Continued Prosecution Application (CPA);
 - 2. Within three months of the date of entry of the National Stage international application;
 - 3. Before the mailing date of a first Office Action on the merits, or
 - 4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).

METHOD OF PAYMENT

x	_ No fe	ee i	.s	requi	ced.				
	Attached	is	a	check	in	the	amount	of	\$

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Steven M. Koebier, Reg. No. 36,188 900 Second Avenue South, Suite 1400 Minneapolis, Minnesota 55402-3319

Phone: (612) 334-3222 Fax: (612) 334-3312

SMK:dkm

FORM PTO-1449	Atty. Docket No.: M61.12-0393	Appl. No.: 10/046,131			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:				
(1)	Francisco M. Galanes				
711 0 2 100° E	Filing Date	Group Art:			
	October 21, 2001	2171			

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriat
AA	5,303,327	4/1994	Sturner et al.	704	270	
AB	5,559,897	9/1996	Brown et al.	382	186	
AC	5,566,272	10/1996	Brems et al.	704	231	
AD	5,664,061	9/1997	Andreshak et al.	704	275	
AE	5,737,489	4/1998	Chou et al.	704	256	
AF	5,774,628	6/1998	Hemphill, Charles T.	704	255	
AG	5,819,220	10/1998	Sarukkai et al.	704	243	·
AH	5,890,123	3/1999	Brown et al.	704	270.1	
AI	5,960,399	9/1999	Barclay et al.	704	270.1	
AJ	6,078,886	6/2000	Dragosh et al.	704	270	
AK	6,101,472	8/2000	Giangarra et al.	704	275	
AL	6,192,338	2/2001	Haszto et al.	704	257	
AM	6,203,495	3/2001	Bardy, Gust H.	600	301	
AN	6,240,391	5/2001	Ball et al.	704	270	
AO	6,243,443	6/2001	Low et al.	379	88.17	
AP	6,349,132	2/2002	Wesemann et al.	379	88.17	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMIN	NER:	DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

										Sheet 2 of 4
FORM PTO-1	449							Oocket -0393	No.:	Appl. No.: 10/046,131
I	IST OF PATENT APPLICAL DISCLO		FORMA	TION	5 FOR	Fi:	rst 1	Named 1	Inventor:	
						Fr	ancia	sco M.	Galanes	
						Fi	ling	Date		Group Art:
·						Oc	tober	21, 2	2001	2171
			1	U.S. PA	ATENT DOCUMENTS					
Examiner Initial	Document No.	Dat	:e		Name	_	Cl	.ass	Sub Class	Filing Date If Appropriate
AQ	6,366,886	4/200	2	Drago	sh et al.		7	04	270.1	
AR	6,384,829	5/200	2	Prevo	st et al.		3	45	473	
AS	6,385,583	5/200	2	Ladd et al.			7	04	270	
AT	6,405,170	6/200	2	Phillips et al.			7	04	270	
AU	6,453,290	9/200	2	Jochumson, Christopher		7	04	231		
AV	6,456,974	9/200	9/2002		Baker et al.		7	04	270.1	
AW	6,463,413	10/20	02	Applebaum et al.			7	04	256.2	
AX	6,526,380	2/200	3	Thelen et al.			7	04	251	
AY	6,564,263	5/200	3	Bergman et al.			7	09	231	
AZ	6,570,078	5/200	3	Ludwig, Lester Frank		ς	84		600	
BA	6,604,075	8/200	3	Brown et al.			7	04	270.1	
			FC	REIGN	PATENT DOCUMENT	rs				
	Document	No.	D	ate	Country			Class	Sub Class	Translation Yes No
ВВ	EP 0872827		10/1	L998						
ВС	EP 0854418		1/19	998		-				
BD	EP 1209662		5/20	002						
_	OTHER ART	(Includ	ing A	uthor,	Title, Date, F	ert	inent	. Pages	s, Etc.)	
										·
EXAMINER.					DATE CO	NSTE	ERED			<u> </u>

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Docket No.: M61.12-0393	Appl. No.: 10/046,131		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:			
·	Francisco M. Galanes			
	Filing Date	Group Art:		
	October 21, 2001	2171		

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BE	6,587,822	7/2003	Brown et al.	704	275	
BF	6,662,163	12/2003	Albayrak et al.	704	275	
BG	6,689,947	2/2004	Ludwig, Lester Frank	84	721	
вн	6,718,015	4/2004	Berstis, Viktors	379	88.17	
ві	6,745,163	6/2004	Brocious et al.	704	260	
BJ	6,757,655	6/2004	Besling et al.	704	270.1	,
вк	2001/0034603	10/2001	Thrift et al.	704	270.1	į,
BL	2002/0003547	1/2002	Wang et al.	345	727	
ВМ	2002/0031756	3/2002	Holtz et al.	434	362	
BN	2002/0065652	5/2002	Kushida et al.	704	231	
ВО	2002/0077823	6/2002	Fox et al.	704	260	
ВР	2002/0091518	7/2002	Baruch et al.	704	231	
BQ	2002/0107891	8/2002	Leamon et al.	707	513	
BR	2002/0128845	9/2002	Thomas et al.	704	270.1	,
BS	2002/0173961	11/2002	Guerra, Lisa M.	704	258	
вт	2003/0125944	7/2003	Wohlsen et al.	704	246	
BU	2003/0200080	10/2003	Galanes et al.	704	200	
BV	2005/0135571	6/2005	Bangalore et al.	379	088.01	
BW	2004/0138890	7/2004	Ferrans et al.	704	270.1	

EXAMINER:	DATE	CONSIDERED:
-----------	------	-------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 4 of 4

FORM PTO	1449	Atty. Docket No.: M61.12-0393	Appl. No.: 10/046,131				
	LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor	:				
		Francisco M. Galanes	}				
		Filing Date	Group Art:				
		October 21, 2001	2171				
	OTHER ART (Including Author, Title	, Date, Pertinent Pages, Etc.)					
В	VoiceXML Forum, Version 1.00, March	7, 2000, pages 1-101					
ВУ	Hemphill C.T. and Thrift P.R.: "Surf Multimedia, November 1995 (1995-11),		lings ACM				
В	Using the HTML Converter, Sun Microsystems, June 8, 2001						
CF	JavaServer Pages ™ Technology-Frequently Asked Questions, Sun Microsystems, August 27, 1999						
CE	CB SCRIPT-Client-side Script, Web Design Group, May 13 th , 1998 CC XML and XSL from servers to cell-phones, Ramin Firoozye and Ranbir Chawla, September 2 nd , 1998						
cc							
CI	Telephones, http://www.fusionanomaly.net/tele	phones.html, 10/30/2000					
CE	SpeechObjects Specification V1.0, W3	3C Note, November 2000					
CF	McTear, Michael F., "Spoken Dialogue Interface", ACM Computing Surveys (C 169						
co	Capra, Robert, et al. "Posters & Dem Context", Proceedings of the 2001 Wo November 2001, pp. 1-9.						
CH	Lucas, Bruce, "VoiceXML for Web-base Communications of the ACM, Vol.43, Is						
EXAMINER		DATE CONSIDERED:	···				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.